

Source of Funds Declaration



UNIT TRUST
CORPORATION

PLEASE COMPLETE IN CAPITAL LETTERS

SOL LOCATION _____

TRANSACTION DATE _____ dd/mm/yy

NAME OF UNITHOLDER

NAME OF UNITHOLDER _____

DATE OF BIRTH _____ dd/mm/yy

ADDRESS _____

ID# _____

DP ID PP OTHER

TELEPHONE # H () _____ W () _____ C () _____

OCCUPATION/TYPE OF BUSINESS _____ RESIDENCY STATUS RESIDENT NON-RESIDENT

NAME OF DEPOSITOR

NAME OF DEPOSITOR _____

DATE OF BIRTH _____ dd/mm/yy

ADDRESS _____

ID# _____

DP ID PP OTHER

TELEPHONE # H () _____ W () _____ C () _____

PAYMENT DETAILS

INVESTMENT ID(S) _____

TRANSACTION TYPE

SALES OF UNITS FOREIGN EXCHANGE

PAYMENT TYPE

CASH CHEQUE WIRE TRANSFER

PAYMENT DETAILS

TTD USD GBP

CAD BBD ECD

CASH \$ _____

CHEQUE \$ _____

CHEQUE \$ _____

TOTAL AMT. DEPOSITED \$ _____

PLEASE COMPLETE FOR FOREIGN EXCHANGE BOUGHT/SOLD BY UNITHOLDER

BOUGHT \$ _____

SOLD \$ _____

TTD USD GBP

CAD BBD ECD

DECLARATION OF SOURCE OF FUNDS

SALE OF PROPERTY

ATTORNEY'S ESCROW ACCOUNT

PROCEEDS FROM BUSINESS TRADE

PROCEEDS OF AN INHERITANCE/TRUST FUND

PROCEEDS FROM CONTRACTUAL OBLIGATION

PROCEEDS FROM INVESTMENTS AT FINANCIAL INSTITUTION

OTHER (specify) _____

PARTICULARS

By reason of the requirements of the Proceeds of Crime Act 2000, Anti Terrorism Act 2005, Central Bank of Trinidad and Tobago guidelines on Combating Money Laundering and Terrorism Financing, the Trinidad and Tobago Unit Trust Corporation's guidelines on Money Laundering and Terrorism Financing May 8th 2006 requires it to be satisfied as to the source of funds before accepting deposits of funds for the transfer or for the purchase of any other currency or instrument. Consent is hereby given to disclose the information contained herein to Law Enforcement Agencies.

CUSTOMER'S SIGNATURE _____

TRANSACTION CONDUCTED BY (BLOCK/SIGNATURE) _____

TRANSACTION AUTHORISED BY (BLOCK/SIGNATURE) _____

OFFICIAL USE ONLY

*TRANSACTION ACCEPTED

*TRANSACTION DECLINED

*DETAILS _____

*TRANSACTION INCOMPLETE
